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PATENT  
1279-400C1/10213194

### DECLARATION AND PETITION

As the below named inventors, we hereby declare that:

Our residence, post office address and citizenship is as stated below next to our names.

We believe that we are original, first inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **BINAPHTHOL BASED CHROMOPHORES FOR THE FABRICATION OF BLUE ORGANIC LIGHT EMITTING DIODES**, which is a continuation in part of application Serial No. 10/346,667 that was filed on January 17, 2003.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: **NONE**

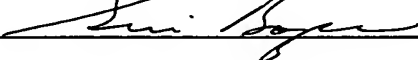
We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), and § 119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Serial No. 10/346,667 filed 1/17/2003

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and

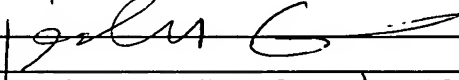
further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Wherefore we pray that Letters Patent be granted to us for the invention or discovery described and claimed in the foregoing specification and claims, and we hereby subscribe our names to the foregoing specification and claims, declaration and petition.

**Guillermo C. Bazan**

Inventor's signature:   
Date: \_\_\_\_\_  
Residence: 1068 Cheltenham, Santa Barbara, California 93105  
Citizenship: Canada  
Post Office Address: 1068 Cheltenham, Santa Barbara, California 93105

**Hadjar Benmansour**

Inventor's signature:   
Date: \_\_\_\_\_  
Residence: 785 Camino del Sur, Apt. #34, Goleta, California 93117  
Citizenship: France  
Post Office Address: 785 Camino del Sur, Apt. #34, Goleta, California 93117

**Yoshiharu Sato**

Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Residence: 7-9-2-108, Kamitsuruma, Sagami-hara, 228-0802, Japan  
Citizenship: Japan  
Post Office Address: 1000 Kamoshida-cho, Yokohama, 227-8502, Japan

**Takeshi Shioya**

Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Residence: 2-6-3 Tachibanadai, Yokohama, 227-0046, Japan  
Citizenship: Japan  
Post Office Address: 1000 Kamoshida-cho, Yokohama, 227-8502, Japan



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further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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**Guillermo C. Bazan**

Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Residence: 1068 Cheltenham, Santa Barbara, California 93105  
Citizenship: Canada  
Post Office Address: 1068 Cheltenham, Santa Barbara, California 93105

**Hadjar Benmansour**

Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Residence: 785 Camino del Sur, Apt. #34, Goleta, California 93117  
Citizenship: France  
Post Office Address: 785 Camino del Sur, Apt. #34, Goleta, California 93117

**Yoshiharu Sato**

Inventor's signature: Yoshiharu Sato  
Date: 1/29/2004  
Residence: 7-9-2-108, Kamitsuruma, Sagamihara, 228-0802, Japan  
Citizenship: Japan  
Post Office Address: 1000 Kamoshida-cho, Yokohama, 227-8502, Japan

**Takeshi Shioya**

Inventor's signature: Shioya Takeshi  
Date: 1/29/2004  
Residence: 2-6-3 Tachibanadai, Yokohama, 227-0046, Japan  
Citizenship: Japan  
Post Office Address: 1000 Kamoshida-cho, Yokohama, 227-8502, Japan



Atty Docket No.: 1279-400C1/10400642

Inventor: Guillermo C. Bazan et al.

Application No.: 10/759,505

Filing Date: January 16, 2004

Title: BINAPHTHOL BASED CHROMOPHORES FOR THE FABRICATION OF BLUE ORGANIC LIGHT EMITTING DIODES

**Documents Filed:**

RECORDATION FORM COVER SHEET with ASSIGNMENT (2 sheets) ATTACHED; CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10; DEPOSIT ACCOUNT

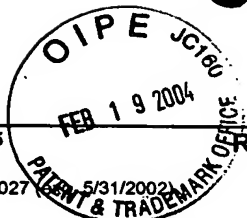
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U.S. Patent and Trademark Office

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1. Name of conveying party(ies):

Guillermo C. Bazan  
Hadjar Benmansour  
Yoshiharu Sato  
Takeshi Shioya

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 1/23/04, 2/8/04, 1/29/04

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: N/A

Street Address:

1111 Franklin Street, 5<sup>th</sup> Floor

City: Oakland

State: CA

Zip: 94607-5200

Additional name(s) & address(es) attached:

☐ Yes ☒ No

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

10/759,505

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert Berliner, Esq.  
FULBRIGHT & JAWORSKI L.L.P.

Internal Address: Atty. Dkt.: 1279-400C1/10400642

Street Address:  
865 South Figueroa Street, 29<sup>th</sup> Floor

City:  
Los Angeles

State: CA Zip: 90017-2576

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☐ Authorized to be charged to credit card  
(Form 2038 enclosed)

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert Berliner  
Name of Person Signing

Signature

February 19, 2004  
Date

Total number of pages including cover sheet, attachments, and documents: 7

**ASSIGNMENT**

U.C. Case No. 2003-157-2  
Docket 1279-400C1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |                       |                        |
|-----------------------|------------------------|
| 1. Guillermo C. Bazan | 2. ..Hadjar Benmansour |
| 3. Yoshihru Sato      | 4. Takeshi Shioya      |

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin St., 5<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **BINAPHTHOL BASED CHROMOPHORES FOR THE FABRICATION OF BLUE ORGANIC LIGHT EMITTING DIODES** and which is found in U.S. patent application filed January 16, 2004 listing the above-named inventors and assigned Serial No \_\_\_\_\_ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and document relating to said invention and said Letters patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.  
executed this

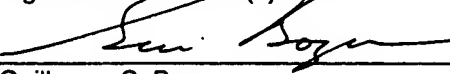
23 day of January, 2004


08 day of February, 2004

\_\_\_\_ day of \_\_\_\_\_, 2004

\_\_\_\_ day of \_\_\_\_\_, 2004

Signature of Inventor(s)

  
\_\_\_\_\_  
Guillermo C. Bazan

  
\_\_\_\_\_  
Hadjar Benmansour

\_\_\_\_\_  
Yoshihru Sato

\_\_\_\_\_  
Takeshi Shioya



**ASSIGNMENT**

COPY

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Docket 1279-400C1

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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2004

\_\_\_\_\_  
Guillermo C. Bazan

\_\_\_\_ day of \_\_\_\_\_, 2004

\_\_\_\_\_  
Hadjar Benmansour

29 day of January, 2004

\_\_\_\_\_  
*Yoshihru Sato*  
Yoshihru Sato

29 day of January, 2004

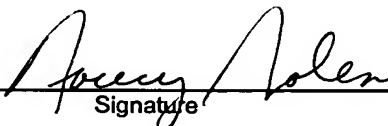
\_\_\_\_\_  
*Takeshi Shioya*  
Takeshi Shioya

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Date

  
Signature

Nancy Nolen

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